

URANIUM CONVERTERS' QUESTIONNAIRE
URANIUM FROM RUSSIA

Return completed questionnaire to:

UNITED STATES INTERNATIONAL TRADE COMMISSION

Office of Investigations, Room 615
500 E Street, SW, Washington, DC 20436

So as to be received by the Commission by no later than March 30, 2006

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping review investigation concerning uranium from Russia (inv. No. 731-TA-539-C (Second Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

Name of firm _____

Address _____

City _____ State _____ Zip code _____

World Wide Web address _____

Has your firm produced **natural uranium hexafluoride** (as defined in the instruction booklet) at any time since January 1, 2000?

☐ **NO** (Sign the certification below and promptly return only this page of the questionnaire to the Commission)

☐ **YES** (Read the instruction booklet carefully, complete all parts of the questionnaire, sign the certification, and return the entire questionnaire to the Commission)

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.

By signing this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this review in any other import-injury investigations or reviews conducted by the Commission on the same or similar merchandise. (If you do not consent to such use, please note the certification accordingly.)

I acknowledge that information submitted in this questionnaire response and throughout this review may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this review or related proceedings for which this information is submitted, or in internal audits and investigations relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

Name and Title of Authorized Official

Date

Signature of Authorized Official

() _____
Phone

() _____
Fax

PART I.--GENERAL QUESTIONS

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-1a. Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

_____ hours _____ dollars

I-1b. We are interested in any comments you may have for improving this questionnaire in general or the clarity of specific questions. Please attach such comments to your response or send them to the above address.

I-2. Provide the name and address of establishment(s) covered by this questionnaire (see pages 3-4 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

I-3. Do you support or oppose continuation of the suspension agreement currently in place for uranium from Russia? Please explain.

☐ Support ☐ Oppose ☐ Take no position

I-4. Is your firm owned, in whole or in part, by any other firm?

☐ No ☐ Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Extent of ownership</u>
_____	_____	_____
_____	_____	_____

PART I.--GENERAL QUESTIONS--Continued

- I-5. Does your firm have any related firms, either domestic or foreign, which are engaged in importing any form of uranium from Russia into the United States or which are engaged in exporting any form of uranium from Russia to the United States?

☐ No ☐ Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

- I-6. Does your firm have any related firms, either domestic or foreign, which are engaged in importing any form of uranium from countries other than Russia into the United States or which are engaged in exporting any form of uranium from countries other than Russia to the United States?

☐ No ☐ Yes--List the following information.

<u>Country/firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

- I-7. Does your firm have any related firms, either domestic or foreign, which are engaged in the production of any form of uranium?

☐ No ☐ Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

- I-8. In Parts II and IV of this questionnaire we request a copy of your company's business plan. Does your company or any related firm have a business plan or any internal documents that describe, discuss, or analyze expected future market conditions for any form of uranium?

☐ No ☐ Yes--Please provide the requested documents. If you are not providing the requested documents, please explain why not.

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Cynthia Trainor (202-205-3354; cynthia.trainor@usitc.gov). **Supply all data requested on a calendar-year basis.**

II-1. Who should be contacted regarding the requested trade and related information?

Company contact: _____
 Name and title

Phone No. _____ E-mail address _____

II-2. Has your firm experienced any plant openings, relocations, expansions, acquisitions, consolidations, closures, or prolonged shutdowns because of strikes or equipment failure; curtailment of production because of shortages of materials; or any other change in the character of your operations or organization relating to the production of natural uranium hexafluoride since January 1, 2000 (the year in which the suspension agreement under review was continued)?

☐ No ☐ Yes--Supply details as to the time, nature, and significance of such changes.

II-3. Does your firm anticipate any changes in the character of your operations or organization (as noted above) relating to the production of natural uranium hexafluoride in the future?

☐ No ☐ Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation, that address this issue. **Include in your response a specific projection of your firm's capacity to produce natural uranium hexafluoride (in kilograms of Uranium) for 2006 and 2007.**

II-4. Would your firm anticipate any changes in the character of your operations or organization (as noted above) relating to the production of natural uranium hexafluoride in the future if the suspension agreement on uranium from Russia were to be revoked?

☐ No ☐ Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation, that address this issue.

PART II.--TRADE AND RELATED INFORMATION--Continued

- II-5. Has your firm since January 1, 2000 produced, or does your firm anticipate producing in the future, other products on the same equipment and machinery used in the production of natural uranium hexafluoride and/or using the same production and related workers employed to produce natural uranium hexafluoride?

☐ No ☐ Yes--List the following information and report your firm's combined production capacity and production of these products and natural uranium hexafluoride in the periods indicated.

<u>Product</u>	<u>Period</u>	<u>Basis for allocation of capacity and employment data (indicate if different)</u>
_____	_____	_____
_____	_____	_____

(Quantity in kilograms of Uranium)						
Item	2000	2001	2002	2003	2004	2005
AVERAGE PRODUCTION CAPACITY						
PRODUCTION						

- II-6. Please describe the constraint(s) that set the limit(s) on your production capacity.

- II-7. Is your firm able to switch production between natural uranium hexafluoride and other products in response to a relative change in the price of natural uranium hexafluoride vis-a-vis the price of other products, using the same equipment and labor?

☐ No ☐ Yes--Please identify the other products, the approximate time and cost involved in switching, and the minimum relative price change required for your firm to switch production to or from natural uranium hexafluoride.

PART II.--TRADE AND RELATED INFORMATION--Continued

II-8. Report your firm's production capacity, production, shipments, inventories, and employment related to the production of natural uranium hexafluoride in your U.S. establishment(s) during the specified periods. (See definitions in the instruction booklet.)

<i>(Quantity in kilograms of Uranium, value in \$1,000)</i>						
Item	2000	2001	2002	2003	2004	2005
AVERAGE PRODUCTION CAPACITY¹ (<i>quantity</i>)						
BEGINNING-OF-PERIOD INVENTORIES:						
Finished goods (<i>quantity</i>)						
Raw materials (<i>quantity</i>)						
PRODUCTION (<i>quantity</i>)						
U.S. SHIPMENTS:						
Commercial shipments:						
<i>Quantity</i> of commercial shipments						
<i>Value</i> of commercial shipments						
Internal consumption:						
<i>Quantity</i> of internal consumption						
<i>Value²</i> of internal consumption						
Transfers to related firms:						
<i>Quantity</i> of transfers to related firms						
<i>Value²</i> of transfers to related firms						
EXPORT SHIPMENTS:³						
<i>Quantity</i> of export shipments						
<i>Value</i> of export shipments						
END-OF-PERIOD INVENTORIES:⁴						
Finished goods (<i>quantity</i>)						
Raw materials (<i>quantity</i>)						
U.S. SHIPMENTS TO DISTRIBUTORS (<i>quantity</i>)						
U.S. SHIPMENTS TO END USERS (<i>quantity</i>)						
AVERAGE NUMBER OF PRWs						
HOURS WORKED BY PRWs (<i>1,000 hours</i>)						
WAGES PAID TO PRWs (<i>value</i>)						

¹ The production capacity (see definitions in instruction booklet) reported is based on operating _____ hours per week, _____ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity (use additional pages as necessary).

² Internal consumption and transfers to related firms must be valued at fair market value. In the event that you use a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for 2000-05 below:

³ Identify your principal export markets: _____.

⁴ Reconciliation of data--Please note that the **quantities** reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

☐ Yes ☐ No--Please explain: _____.

PART II.--TRADE AND RELATED INFORMATION--Continued

- II-9. If you reported transfers to related firms in question II-8, please indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

- II-10. Other than direct imports, has your firm otherwise purchased natural uranium hexafluoride since January 1, 2000? (See definitions in the instruction booklet.)

☐ No

☐ Yes--Report such purchases below for the specified periods.¹

<i>(Quantity in kilograms of Uranium, value in \$1,000)</i>						
Item	2000	2001	2002	2003	2004	2005
PURCHASES FROM U.S. IMPORTERS² OF PRODUCT FROM--						
RUSSIA:						
Quantity						
Value						
ALL OTHER COUNTRIES:						
Quantity						
Value						
PURCHASES FROM DOMESTIC PRODUCERS:²						
Quantity						
Value						
PURCHASES FROM OTHER SOURCES:²						
Quantity						
Value						
¹ Please indicate your reasons for purchasing this product. If your reasons differ by source, please elaborate.						
² Please list the name of the firm(s) from which you purchased this product. If your suppliers differ by source, please identify the source for each listed supplier.						

PART II.--TRADE AND RELATED INFORMATION--Continued

- II-11. Since January 1, 2000, has your firm been involved in a toll agreement (see definition in the instruction booklet) regarding the production of natural uranium hexafluoride?

☐ No ☐ Yes--Name firm(s): _____

- II-12. Does your firm produce natural uranium hexafluoride in a foreign trade zone (FTZ)?

☐ No ☐ Yes--Identify FTZ(s): _____

- II-13. Since January 1, 2000, has your firm imported any form of uranium?

☐ No ☐ Yes--**COMPLETE AND RETURN THE ENCLOSED IMPORTERS' QUESTIONNAIRE**

- II-14. Describe the significance of the existing suspension agreement covering imports of uranium from Russia in terms of its effect on your firm's production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, and asset values. You may wish to compare your firm's operations before and after the imposition of the suspension agreement.

- II-15. Would your firm anticipate any changes in its production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, or asset values relating to the production of natural uranium hexafluoride in the future if the suspension agreement on uranium from Russia were to be revoked?

☐ No ☐ Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation, for any trends or projections you may provide.

PART II.--TRADE AND RELATED INFORMATION--Continued

II-16a. Please discuss how the following parameters have changed during the period of review and how these parameters are expected to change in the foreseeable future.

capacity factor _____

tails assay (percent) _____

fuel design/burn up _____

reactor power _____

II-16b. Please describe the impact of these parameter changes on your firm's production, sales, and profitability.

II-17. Please describe how the scheduled termination of the HEU agreement in 2013 will impact your firm.

II-18a. Please discuss how reprocessing of uranium is changing and is likely to change in the foreseeable future in the United States and other countries.

II-18b. Please describe the likely impact of these parameter changes on your firm's production, sales, and profitability.

PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to David Boyland (202-708-4725 or david.boyland@usitc.gov).

III-1. Identify the individual who prepared or has knowledge of the requested financial information.

Company contact: _____
 Name and title _____
 Phone No. _____ Fax No. _____
 E-mail address _____ Company web address _____

III-2. Briefly describe your financial accounting system.

- A. When does your fiscal year end (month and day)? _____
 If your fiscal year changed during the period examined, explain below: _____
- B.1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include subject merchandise: _____
2. Does your firm prepare profit/loss statements for the subject merchandise: Yes ___ No ___
3. How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below.
 Audited ___ unaudited ___ annual reports ___ 10Ks ___ 10Qs ___
 Monthly ___ quarterly ___ semi-annually ___ annually ___
4. Accounting basis: GAAP ___ cash ___ tax ___ other comprehensive (specify) _____

Note: The Commission may request that your company submit copies of its financial statements, including internal profit-and-loss statements for the division or product group that includes natural uranium hexafluoride, as well as those statements and worksheets used to compile data for your firm's questionnaire response.

III-3. Briefly describe your cost accounting system (e.g., standard cost, job order cost, etc.).

III-4. Briefly describe your allocation basis, if any, for COGS, SG&A, and interest expense and other income and expenses.

III-5. Other products.--Please list any other products you produced in the facilities in which you produced natural uranium hexafluoride, and provide the share of net sales accounted for by these other products in your most recent fiscal year:

Product(s)	Share of sales
_____	_____
_____	_____
_____	_____

PART III.--FINANCIAL INFORMATION--Continued

III-6. Operations on natural uranium hexafluoride.--Report the revenue and related cost information requested below on the natural uranium hexafluoride operations of your U.S. establishment(s).¹ Do not report resales of product. Note that internal consumption and transfers to related firms must be valued at fair market value and purchases from related firms must be at cost. Provide data for your six most recently completed fiscal years in chronological order from left to right. If your firm was involved in tolling operations (either as the toller or as the tollee) please contact David Boyland at (202) 708-4725 before completing this section of the questionnaire.

(Quantity in kilograms of UF ₆ , Value in \$1,000)						
Item	_____	_____	_____	_____	_____	_____
Net sales quantities:²						
Commercial sales						
Internal consumption						
Transfers to related firms						
Total net sales quantities						
Net sales values:²						
Commercial sales						
Internal consumption						
Transfers to related firms						
Total net sales values						
Cost of goods sold (including internal consumption and transfers to related firms):						
Raw materials						
Direct labor						
Other factory costs						
Total cost of goods sold						
Gross profit or (loss)						
Selling, general, and administrative (SG&A) expenses:						
Selling expenses						
General and administrative expenses						
Total SG&A expenses						
Operating income or (loss)						
Other income and expenses:						
Interest expense						
All other expense items						
Continued Dumping and Subsidy Offset Act funds received ³						
All other income items						
All other income or expenses, net						
Net income or (loss) before income taxes						
Depreciation/amortization included above						

¹ Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations.

² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ Please report funds received under this act in the period(s) in which they were received. Do not report these funds as an offset to operating expenses.

PART III.--FINANCIAL INFORMATION--Continued

- III-7. Asset values.--Report the total assets associated with the production, warehousing, and sale of natural uranium hexafluoride. If your firm does not maintain some or all of the specific asset data in the normal course of business, please estimate it based upon some rational method (such as production, sales, or costs) that is consistent with your cost allocations in the previous question. Your finished goods inventory value should reconcile with the inventory quantity data reported in Part II. Provide data as of the end of your six most recently completed fiscal years in chronological order from left to right.

(Value in \$1,000)						
Value of	_____	_____	_____	_____	_____	_____
Assets associated with the production, warehousing, and sale of product:						
1. Current assets:						
A. Cash and equivalents						
B. Accounts receivable, net						
C. Inventories (finished goods)						
D. Inventories (raw materials and work in process)						
E. Short-term investments						
F. Prepaid expenses						
G. Property held for resale						
H. Other (describe _____)						
I. Total current assets (lines 1.A. through 1.H.)						
2. Notes receivable						
3. Long-term investments						
4. Property, plant, and equipment						
A. Original cost of property, plant, and equipment						
B. Less: Accumulated depreciation						
C. Equals: Book value of property, plant, and equipment						
5. Goodwill						
6. Other (describe _____)						
7. Other (describe _____)						
8. Total assets (lines 1.I., 2, 3, 4.C., 5, 6, and 7)						

- III-8. Capital expenditures and research and development expenditures.--Report your firm's capital expenditures and research and development expenditures on natural uranium hexafluoride. Provide data for your six most recently completed fiscal years in chronological order from left to right.

(Value in \$1,000)						
Item	_____	_____	_____	_____	_____	_____
Capital expenditures						
Research and development expenditures						

PART IV--CONVERSION FEES AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Craig Thomsen (202-205-3226).

IV-1 Who should be contacted regarding the requested tolling fees and market factors?

Company contact: _____
Name and title

Email address

Phone No.

Section IV-A.--U.S. CONVERSION FEES FOR NATURAL URANIUM UF₆

This section requests quarterly U.S. toll fee and quantity data concerning your firm's toll-conversion of uranium concentrates (U₃O₈) to natural uranium hexafluoride (UF₆) under agreements with U.S. customers, and shipped (book transfers and physical movements) to U.S. enrichers during January 2000-December 2005. Report data in this section for natural UF₆ that your firm produced under a toll conversion agreement with U.S. customers unrelated to your firm.

Toll conversion fees.--Toll fees reported should be weighted-average transaction fees charged to convert uranium concentrates into natural UF₆ and to ship (book transfers and physical movements) the natural UF₆ to U.S. enrichers specified by your U.S. customers **net of ALL discounts, allowances (including any FREIGHT ALLOWANCES), rebates, and any other deductions or premiums**. Such toll fees include conversion services, but not the cost of the uranium concentrates used in the conversion process. Express toll fees in dollars per kilogram (kg) of uranium (U) contained in the natural UF₆.

Weighted-average net U.S. delivered unit toll-conversion fees.--These are U.S. tolling fees that you invoice your U.S. customers for conversion and to effect delivery (book transfers and physical movements) of the natural UF₆ to U.S. enrichers designated by these customers. Weighted-average net delivered unit toll fees are calculated by dividing total net delivered toll values for a particular period by the total quarterly quantity shipped in that period.

Quantities shipped.--Quantities of natural UF₆ are requested net of returns and expressed in kgs of U in the natural UF₆. If your firm uses pounds for the quantity of uranium in natural UF₆, please convert to kilograms, at the rate of 0.45359 kilograms per pound, when reporting the toll-fee data.

Spot toll-conversion agreement.--An agreement, usually written, to supply U.S. customers with conversion services to produce natural UF₆ on an immediate or near-term basis (within 12 months) from the time of order.

PART IV--CONVERSION FEES AND MARKET FACTORS--Continued

Section IV-A.--U.S. CONVERSION FEES FOR NATURAL UF₆--Continued

Contract toll-conversion agreement.--A written agreement to supply U.S. customers conversion services to produce natural UF₆ during a specified period of time, with deliveries of the natural UF₆ scheduled into the first calendar year or beyond from the contract-signing year. The total amount of this product to be toll-converted may be specified exactly or approximately. Toll fees MAY or MAY NOT be fixed.

IF FIXED, toll-conversion fees may be set at a specified level during the entire contract period, or may initially be set at some level but subject to increases at intervals and amounts specified in the contract.

IF NOT FIXED, toll-conversion fees are based on some agreed upon prevailing market toll-fee indicator at the time of delivery and--

- (a) may be subject to a contract requirement of a floor toll fee (based on market toll fees or your costs), a ceiling toll fee, a discount from the market toll fee, or some combination of these, or
- (b) may not be subject to such contract toll-fee requirements, but subject to escalator clauses.

Total shipments in each quarter.--Please report separately, in the tables beginning on page 16, **for each toll-conversion agreement category identified below** the quantity, total net delivered toll-conversion value, and net U.S. delivered weighted-average unit toll conversion fees for your firm's total *quarterly* shipments of its toll-produced natural UF₆ for U.S. customers (unrelated to your firm) and shipped to U.S. enrichers designated by these customers. Also report the requested toll-conversion-fee data separately for multiyear-shipment contracts, in toll-conversion agreement categories (2) and (3), by the year the contracts were negotiated; combine shipment data for all contracts negotiated in the same year and make copies of the appropriate tables to show the requested toll-conversion-fee data separately for each group of contracts negotiated in the same year. Show where requested in the tables, the contract year of the group of contracts for which shipment data are reported in the table, the full shipment period encompassed by all such contracts, the total number of such contracts, and the estimated total maximum quantity of these contracts.

Toll-conversion agreement categories.--

- (1) combined spot toll-conversion and those toll-conversion contracts for natural UF₆ where the toll fees for conversion are based on market toll fees at the time of shipment and the contracts DO NOT specify a toll fee/cost-based floor or a toll fee ceiling,
- (2) toll-conversion contracts for natural UF₆ where toll fees for conversion are based on market toll fees at the time of shipment but the contract specifies a toll fee/cost-based floor, a toll fee ceiling, a discount from the market toll fee, or some combination of these,
- (3) toll-conversion contracts for natural UF₆ where toll fees for conversion are fixed or subject to escalator clauses specified in the contract.

PART IV--CONVERSION FEES AND MARKET FACTORS--Continued

Section IV-A.--U.S. CONVERSION FEES FOR NATURAL UF₆--Continued

PRODUCT DESCRIPTION:

Natural uranium hexafluoride.--Uranium hexafluoride in the natural, unenriched, state (defined on page 4 of the instruction booklet).

NOTE: Report in the tables provided the requested toll fee data **ONLY** for the natural UF₆ where your firm was the converter on a toll basis. **DO NOT** include sales of any natural UF₆ that was produced by your firm from uranium concentrates owned by your firm, such that your sales price of the natural UF₆ also included the cost of the uranium concentrate feedstock.

PART IV--CONVERSION FEES AND MARKET FACTORS--Continued**Section IV-A.--U.S. CONVERSION FEES FOR NATURAL UF₆--Continued**

IV-A.1	Show in the following tabulation, BY CATEGORIES OF TOLL-CONVERSION AGREEMENTS, the total quantity (in kgs of U as natural UF ₆) of your firm's U.S. <u>shipments</u> of natural UF ₆ it produced under tolling agreements with U.S. customers and shipped to their designated U.S. enrichers during 2000-05 .	
	Categories of toll agreements	(Kilograms of U in natural UF ₆)
	Spot <i>market</i> and those contracts based on <i>market</i> toll fees for conversion WITHOUT specified toll fee/cost-based floors, toll fee ceilings, or discounts from market toll fees.	
	Contract <i>market</i> toll fee for conversion WITH specified toll fee/cost-based floors, toll fee ceilings, discounts from market toll fees, or some combination of these.	
	Contract <i>fixed</i> toll fee for conversion, including those with specified escalator clauses	
Other (specify)		

PART IV--CONVERSION FEES AND MARKET FACTORS--Continued**Section IV-A.--U.S. CONVERSION FEES FOR NATURAL UF₆--Continued**

IV-A.2 (a) Toll-Conversion Agreement Category (1): Combined spot toll-conversion and those toll-conversion contracts for conversion services to produce natural UF₆ where the toll fees are based on market toll fees at the time of shipment and the contracts DO NOT specify a toll-fee/cost-based floor, a price ceiling, or a discount from the market toll fee.

Your firm's quarterly category (1) toll-conversion agreement shipments of its U.S.-converted natural UF ₆ to U.S. locations on behalf of your U.S. customers.			
	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered toll value	Weighted-average net unit delivered toll fee ¹
Period of shipment	<i>Kilograms of natural U</i>	<i>Dollars</i>	<i>Dollars/kg of natural U</i>
2000:			
January-March			
April-June			
July-September			
October-December			
2001:			
January-March			
April-June			
July-September			
October-December			
2002:			
January-March			
April-June			
July-September			
October-December			
¹ Total net delivered value divided by total quantity. Note: The quantity is in kgs of U contained in the natural UF ₆ .			

PART IV--CONVERSION FEES AND MARKET FACTORS--Continued**Section IV-A.--U.S. CONVERSION FEES FOR NATURAL UF₆--Continued**

IV-A.2 (a) (cont.) Toll-Conversion Agreement Category (1): Combined spot toll-conversion and those toll-conversion contracts for conversion services to produce natural UF₆ where the toll fees are based on market toll fees at the time of shipment and the contracts DO NOT specify a toll-fee/cost-based floor, a price ceiling, or a discount from the market toll fee.

Your firm's quarterly category (1) toll-conversion agreement shipments of its U.S.-converted natural UF ₆ to U.S. locations on behalf of your U.S. customers.			
Period of shipment	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered toll value	Weighted-average net unit delivered toll fee ¹
	<i>Kilograms of natural U</i>	<i>Dollars</i>	<i>Dollars/kg of natural U</i>
2003:			
January-March			
April-June			
July-September			
October-December			
2004:			
January-March			
April-June			
July-September			
October-December			
2005:			
January-March			
April-June			
July-September			
October-December			
¹ Total net delivered value divided by total quantity. Note: The quantity is in kgs of U contained in the natural UF ₆ .			

PART IV--CONVERSION FEES AND MARKET FACTORS--Continued**Section IV-A.--U.S. CONVERSION FEES FOR NATURAL UF₆--Continued**

IV-A.2 (b) Toll-Conversion Agreement Category (2): Toll-concession contracts to produce natural UF₆ where toll fees are based on market toll fees at the time of shipment but the contract specifies a toll fee/cost-based floor, a toll fee ceiling, a discount from the market toll fee, or some combination of these.

COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED TOLL FEE DATA SEPARATELY BY CONTRACT YEAR IN TOLL-CONVERSION AGREEMENT CATEGORY (2).

Your firm's quarterly category (2) toll-conversion agreement shipments of its U.S.-converted natural UF ₆ to U.S. locations on behalf of your U.S. customers.			
Contract: ¹	Year: _____ Full period of all contracts: _____		
No. of contracts:		Total (maximum) quantity:	
Period of shipment	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered toll value	Weighted-average net unit delivered toll fee ²
	<i>Kilograms of natural U</i>	<i>Dollars</i>	<i>Dollars/kg of natural U</i>
2000:			
January-March			
April-June			
July-September			
October-December			
2001:			
January-March			
April-June			
July-September			
October-December			
2002:			
January-March			
April-June			
July-September			
October-December			
¹ Specify where indicated (1) the <u>year</u> the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the <u>full shipment period</u> encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts. ² Total net delivered value divided by total quantity. Note: The quantity is in kgs of U contained in the natural UF ₆ .			

PART IV--CONVERSION FEES AND MARKET FACTORS--Continued**Section IV-A.--U.S. CONVERSION FEES FOR NATURAL UF₆--Continued**

IV-A.2 (b) Toll-Conversion Agreement Category (2): Toll-concession contracts to produce natural UF₆
(cont.) where toll fees are based on market toll fees at the time of shipment but the contract specifies a toll fee/cost-based floor, a toll fee ceiling, a discount from the market toll fee, or some combination of these.

COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED TOLL FEE DATA SEPARATELY BY CONTRACT YEAR IN TOLL-CONVERSION AGREEMENT CATEGORY (2).

Your firm's quarterly category (2) toll-conversion agreement shipments of its U.S.-converted natural UF ₆ to U.S. locations on behalf of your U.S. customers.			
Contract:¹	Year: _____	Full period of all contracts: _____	
No. of contracts: _____		Total (maximum) quantity: _____	
	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered toll value	Weighted-average net unit delivered toll fee ²
Period of shipment	<i>Kilograms of natural U</i>	<i>Dollars</i>	<i>Dollars/kg of natural U</i>
2003:			
January-March			
April-June			
July-September			
October-December			
2004:			
January-March			
April-June			
July-September			
October-December			
2005:			
January-March			
April-June			
July-September			
October-December			
¹ Specify where indicated (1) the <u>year</u> the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the <u>full shipment period</u> encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts. ² Total net delivered value divided by total quantity. Note: The quantity is in kgs of U contained in the natural UF ₆ .			

PART IV--CONVERSION FEES AND MARKET FACTORS--Continued**Section IV-A.--U.S. CONVERSION FEES FOR NATURAL UF₆--Continued**

IV-A.2 (c) Toll Agreement Category (3): Toll-conversion contracts to produce natural UF₆ where toll fees are fixed or subject to escalator clauses specified in the contract.

COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED TOLL FEE DATA SEPARATELY BY CONTRACT YEAR IN TOLL-CONVERSION AGREEMENT CATEGORY (3).

Your firm's quarterly **category (3) toll-conversion agreement shipments** of its U.S.-converted natural UF₆ to U.S. locations on behalf of your U.S. customers.

Contract: ¹	Year: _____	Full period of all contracts: _____	
No. of contracts: _____		Total (maximum) quantity: _____	
Period of shipment	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered toll value	Weighted-average net unit delivered toll fee ²
	<i>Kilograms of natural U</i>	<i>Dollars</i>	<i>Dollars/kg of natural U</i>
2000:			
January-March			
April-June			
July-September			
October-December			
2001:			
January-March			
April-June			
July-September			
October-December			
2002:			
January-March			
April-June			
July-September			
October-December			
¹ Specify where indicated (1) the <u>year</u> the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the <u>full shipment period</u> encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts. ² Total net delivered value divided by total quantity. Note: The quantity is in kgs of U contained in the natural UF ₆ .			

PART IV--CONVERSION FEES AND MARKET FACTORS--Continued**Section IV-A.--U.S. CONVERSION FEES FOR NATURAL UF₆--Continued**

IV-A.2 (c) Toll Agreement Category (3): Toll-conversion contracts to produce natural UF₆ where toll fees are fixed or subject to escalator clauses specified in the contract.

COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED TOLL FEE DATA SEPARATELY BY CONTRACT YEAR IN TOLL-CONVERSION AGREEMENT CATEGORY (3).

Your firm's quarterly **category (3) toll-conversion agreement shipments** of its U.S.-converted natural UF₆ to U.S. locations on behalf of your U.S. customers.

Contract: ¹	Year: _____ Full period of all contracts: _____	
No. of contracts: _____		Total (maximum) quantity: _____
Period of shipment	QUARTERLY SHIPMENTS	
	Total quantity	Total net delivered toll value
	<i>Kilograms of natural U</i>	<i>Dollars</i>
		Weighted-average net unit delivered toll fee ²
		<i>Dollars/kg of natural U</i>
2003:		
January-March		
April-June		
July-September		
October-December		
2004:		
January-March		
April-June		
July-September		
October-December		
2005:		
January-March		
April-June		
July-September		
October-December		

¹ Specify where indicated (1) the year the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the full shipment period encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts.

² Total net delivered value divided by total quantity.

Note: The quantity is in kgs of U contained in the natural UF₆.

PART IV--CONVERSION FEES AND MARKET FACTORS--Continued**Section IV-A.--U.S. CONVERSION FEES FOR NATURAL UF₆--Continued**

IV-A.3	Future Toll-Conversion Fee And Natural UF₆ Product Price Information
	Please discuss below the three largest multi-year sales contracts involving your conversion-service to produce natural UF ₆ and your three largest contracts to provide natural UF ₆ product that you negotiated with U.S. customers during 2000-05. Include as a minimum the information requested below. Report separately for each type of sales contract and show where indicated the type of contract; <i>copy this page for each contract. Attach additional pages of discussion as needed.</i>
	Type of sales contract:
	The name and type of U.S. customer (electric utility, trader, etc.).
	The total contract quantity (in kgs of U contained in the natural UF ₆) and any quantity flexibility provided for in the contract.
	The beginning and ending shipment dates of the full contract.
	A full and detailed description of the toll-conversion fee/product price provisions including any toll-fee/price floors, ceilings, escalator clauses, and any meet or release toll-fee provisions. In addition, identify and explain the factors considered by your firm and/or discussed with your customer in arriving at the contract's toll-conversion fee/price provisions.
	Report below the total quantity and weighted-average conversion toll fee/product price for shipments of natural UF ₆ , under this contract, that 1) occurred during 2000-05, and 2) are expected to occur during 2006-07.
	1) Contract shipments that occurred during 2000-05 (give the shipment date(s)).
	2) Contract shipments expected to occur during 2006-07.

PART IV--CONVERSION FEES AND MARKET FACTORS--Continued**Section IV-B.--CONVERSION FEE PRACTICES**

Unless otherwise requested, please answer all questions in the rest of Part IV based on your firm's total U.S. conversion-service agreements and natural UF₆ product-sales (product sales) agreements with U.S. customers for its natural UF₆ produced in the United States and shipped during January 2000-December 2005. If your response differs by type of conversion-service/product-sales agreement or type of customer, please explain in the margin or attach a separate explanation with the question number. *Note: Unless otherwise specified, shipments or imports refer to book transfers and physical movements of the uranium products/services. Please respond fully to the questions asked and attach additional pages of discussion as needed; identify separate responses with the question number. Unless otherwise specified, other uranium products/services, referred to in the rest of Part IV include (1) uranium concentrates, (2) EUP-HF and the enrichment service to toll produce LEU-HF, (3) the conversion service to toll produce LEU-DO (may include some enrichment adjustment), (4) the pelletizing service to toll produce LEU-P, (5) HEU, and (6) uranium tails depleted in U²³⁵.*

IV-B.1 Please discuss the following principal details of your sales contracts on a typical **multi-year contract** involving your subject imported uranium products/services. If your answers vary by type of uranium product or service, please respond for each separately.

What is the average duration of a contract?

How far in advance is a contract negotiated prior to its start?

How frequently are contracts renegotiated?

Does the contract fix quantity, price, or both?

Does the contract have a meet or release provision?

Has the use of price ceilings/floors changed since January 1, 2000? If so, how?

Identify and explain below the factors discussed between your firm and its U.S. customers and/or factors that you consider in arriving at a conversion fee and/or product price for **typical multi-year contracts** to produce natural UF₆. Include in your discussion the role of published natural UF₆ product prices/conversion fees (identify any such price publications), including any price lists issued by your firm. If your firm issues price lists, please include a copy of your most recent price list with your submission.

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-B.--PRICING PRACTICES--Continued**

IV-B.2 Identify and explain below the factors discussed between your firm and its U.S. customers and/or factors that you considered in arriving at a **SPOT** conversion fee/product price for your U.S.-produced natural UF₆ during 2000-05. Include in your discussion the role of published conversion fee/product prices (identify any such price publications), including any issued by your firm. If your firm issues price lists, please include a copy of your most recent price list with your submission.

IV-B.3 What payment terms does your firm TYPICALLY offer when selling U.S. customers its U.S. conversion services for natural UF₆ or the uranium product? (e.g., 2/10 net 30 days, net 45 days, etc.)

How was your fee/product price typically quoted during 2000-05.

F.o.b. your conversion facilities—

☐

Delivered to the enricher—

☐

IV-B.4 Discuss in detail below your firm's discount policy, including its requirements to qualify for discounts, and the schedule of any volume discounts affecting its conversion fees and product prices.

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-C.--FACTORS AFFECTING PRICING**

IV-C.1 Has the use of **swaps** increased, decreased or remained the same in the uranium market since January 1, 2000? If there has been a change, please describe the effect it has had. Please include information for executed contracts and contracts entered into for services to be provided in 2006-07.

Has the use of **loans/leases** increased, decreased or remained the same in the uranium market since January 1, 2000? If there has been a change, please describe the effect it has had. Please include information for executed contracts and contracts entered into for services to be provided in 2006-07.

IV-C.2 Please discuss the extent to which delivery lead times are important when your firm offers its U.S.-produced natural UF₆ and its associated services to U.S. customers. In particular, address whether imported natural UF₆ or conversion services, including any such imports from Russia, generally are available with longer or shorter lead times than U.S.-produced natural UF₆ when competing in the spot market. Please take into consideration in your discussion any importer U.S. inventories of the imported material that may be available. Have average lead times changed since January 1, 2000? If so, to what extent?

PART IV--PRICING AND MARKET FACTORS--Continued

IV-C.3 Did any individual U.S. producers, importers, or foreign producers/exporters of natural UF₆ or its associated services, or suppliers of the other uranium products/services influence the U.S. market price of uranium concentrates during 2000-05 (discuss separately prices of shipments during this period and during 2006-07, where the latter were negotiated during 2000-05)? *Attach additional pages of discussion as needed.*

11

YES

NO

If yes, please identify any such individual supplying firm(s) and the country of origin of their uranium products, and, for enriched uranium, the country of origin of the enrichment services, if different from the country of export; then discuss:

- (1) specific time periods during 2000-05 when the firm influenced the U.S. market price, and
- (2) whether the effect was to lower or raise the market price.

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-D.--SUPPLY FACTORS**

IV-D.1 Have any significant changes occurred in the product range or marketing of natural UF₆ or conversion services in the United States since January 1, 2000?

☐

YES

☐

NO

If yes, please describe below any such changes, the factors (including possibly the suspension agreements) that were responsible for each change, the time periods such changes occurred, and the impact such changes had on your production and prices of your U.S.-produced natural UF₆. Attach additional pages of discussion as needed.

Please discuss fully, to the extent possible, any changes that you anticipate in the future in the product range or marketing of uranium concentrates in the United States. Identify the specific future time period(s) involved and discuss the factor(s) that you believe would be responsible for any such changes. Provide any underlying assumptions, along with relevant portions of business plans or other supporting documentation, that support this issue. *Attach additional pages of discussion as needed.*

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-D.--SUPPLY FACTORS--Continued****IV-D.1
Cont.**

Have any significant changes occurred in the product range or marketing of the other U.S. uranium products/services since January 1, 2000?

☐

YES

☐

NO

If yes, please describe below any such changes, the factors (including possibly the suspension agreements) that were responsible for each change, the time period(s) such changes occurred, and the impact such changes had on the production and prices of the U.S.-produced uranium products/services. Attach additional pages of discussion as needed.

Please discuss fully, to the extent possible, any changes that you anticipate in the future in the product range or marketing of the other U.S. uranium products/services. Identify the specific future time period(s) involved, and discuss the factor(s) that you believe would be responsible for any such changes. Provide any underlying assumptions, along with relevant portions of business plans or other supporting documentation, that support this issue. *Attach additional pages of discussion as needed.*

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-D.--SUPPLY FACTORS--Continued**

IV-D.2 Please identify any supply factor(s) (e.g., changes in availability or purchase prices of raw materials, energy, labor, or other production costs; and changes in transportation conditions, production capacity, export markets, DOE uranium inventories inherited by USEC, and alternative production opportunities) that affected the **availability and competitiveness** of *U.S.-produced* natural UF₆ or its conversion services in the U.S. market during 2000-05. Please note the time period(s) of any such changes, the factor(s) involved, and the impact any such changes had on your production volumes and selling prices.

Please discuss fully, to the extent possible, any changes that you anticipate in the future in the **availability and competitiveness** of *U.S.-produced* natural UF₆ and its conversion services in the U.S. market. Identify the future time period(s) involved and discuss the factor(s) that you believe would be responsible for any such future changes. Provide any underlying assumptions, along with relevant portions of business plans or other supporting documentation, that address this issue. *Attach additional pages of discussion as needed.*

IV-D.3 Describe how easily your firm can shift its sales of natural UF₆ and conversion services (including any shipping responsibility) between production for the U.S. market and export markets. In your discussion, please describe any contracts, other sales arrangements, or other constraints (including any foreign-country trade barriers such as tariffs, quotas, or other non-tariff trade barriers) that would prevent or retard your firm from shifting sales of natural UF₆ between the U.S. and export markets within a 12-month period.

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-D.--SUPPLY FACTORS--Continued**

IV-D.4 Were you ever unable to provide U.S. conversion services or the U.S.-produced natural UF₆ to a domestic customer in a timely manner at prevailing conversion fees/product prices and in the quantities desired during 2000-05?

☐

YES

☐

NO

If yes, please identify each customer involved, when such supply problems occurred, whether certain customers were placed on allocation, the kilograms of U contained in the natural UF₆, the geographic regions of the United States involved, and the reason(s) for any such supply difficulties. Also include a discussion of your efforts to resolve these supply problems.

IV-D.5 Did your firm purchase any imported natural UF₆ or conversion services, or import directly natural UF₆ or the conversion services during 2000-05 to augment your U.S. production of natural UF₆ and provision of the conversion service to meet U.S. demand?

☐

YES

☐

NO (skip to IV-D.6)

If yes, explain why you felt it was necessary to purchase/import foreign-produced natural UF₆ and/or conversion services to supplement your U.S.-produced natural UF₆ and domestic conversion service; list the country(ies) of origin of the foreign products/services.

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-D.--SUPPLY FACTORS--Continued****IV-D.5
Cont.**

Was the conversion-fee/product price at which you sold the imported conversion service/natural UF₆ the same as that for the domestic conversion fees/product price when selling in comparable market conditions?

☐

YES

☐

NO

If yes, indicate whether your firm realized a higher return on sales of the imported conversion service/natural UF₆ vis-a-vis the domestic service/product. *If no*, please indicate below any difference and explain why. Also indicate the country(ies) of origin.

Did you process the imported natural UF₆ before selling it in this form in the United States?

☐

YES

☐

NO

If yes, please explain below why you processed the imported natural UF₆, whether it was still identified as being of foreign origin, and note any comments/reservations your customers may have had about the country of origin. Also indicate the country(ies) of origin.

PART IV--PRICING AND MARKET FACTORS--Continued

IV-D.5 Cont.

Please discuss fully, to the extent possible, any changes that you anticipate in the future in your firm's purchases of imported natural UF₆ or conversion services or its direct imports of natural UF₆ or conversion services. Identify the specific future time period(s) involved and discuss the factor(s) that you believe would be responsible for any such changes. Include in your discussion how termination of the suspended investigations covering imports of uranium from Russia would affect your purchases of imported uranium. Provide any underlying assumptions, along with relevant portions of business plans or other supporting documentation, that support this issue. *Attach additional pages of discussion as needed.*

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-D.--SUPPLY FACTORS--Continued**

IV-D.6 Please indicate how any changes in your total unit production costs affected your firm's production volumes and conversion fees/selling prices of its U.S.-produced natural UF₆ during 2000-05.

Please discuss fully, to the extent possible, any changes that you anticipate in the future in your total unit production costs for natural UF₆ and discuss the expected impact of the top three cost factors. Identify the future time period(s) involved and discuss the factor(s) that you believe would be responsible for any such changes. Provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. *Attach additional pages of discussion as needed.*

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-D.--SUPPLY FACTORS--Continued**

IV-D.7 What are minimum selling prices you need to keep operating in the short run and the prices that you need to achieve a minimally acceptable profit in the long run? Estimate such prices and specify the key assumptions required.

Short run:

Long run:

IV-D.8 Has the cost and time required to construct a **Greenfield** conversion facility to produce natural UF_6 , restart a closed U.S. uranium conversion facility, or add production capacity to produce more natural UF_6 in the United States changed since January 1, 2000? If so, please describe how.

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-D.--SUPPLY FACTORS--Continued****IV-D.9**

Please discuss the likelihood of future large-scale U.S. production and/or imports of LEU-HF or other low-enriched uranium products blended-down from HEU and identify any technical and/or commercial roadblocks to such production/importation. In addition, discuss any possible changes in the U.S. uranium industry that might be expected to result from such production/importation. Specify the time period(s) involved. *Attach additional pages of discussion as needed.*

IV-D.10

Please provide as separate attachments to this request any studies, surveys, etc that you are aware of that quantify and/or otherwise discuss natural UF_6 production/conversion, capacity, capacity utilization, and inventories in (1) the United States, (2) each of the other major producing countries, including Russia, and (3) the world as a whole. Of particular interest is such data on an annual basis from January 1, 2000 to the present and forecasts of these supply data.

IV-D.11

Please provide as separate attachments to this request any studies, surveys, etc., that you are aware of that quantify and/or otherwise discuss supply conditions for the other uranium products/services in (1) the United States, (2) each of the other major producing countries, including Russia, and (3) the world as a whole. Of particular interest is such data on an annual basis from January 1, 2000 to the present and forecasts of these supply data.

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-E.--DEMAND FACTORS**

IV-E.1 How has total annual U.S. demand (and, if known, annual demand in the rest of the world) for natural UF₆ and its associated conversion services and other uranium products/services changed since January 2000? Identify the principal factors leading to any changes in demand. Identify the time period(s) involved and the factor(s) that you believe would be responsible for such changes.

Please discuss any anticipated changes in uranium demand in the United States and, if known, the rest of the world in the future, identifying the time period(s) involved and the factor(s) that you believe would be responsible for such changes. Provide any underlying assumptions that address this issue.

Please provide as separate attachments to this request any studies, surveys, etc. that you are aware of that quantify and/or otherwise discuss demand for natural UF₆ and its associated conversion services, and for the other uranium products/services in (1) the United States, (2) each of the other major producing/consuming countries, including Russia, and (3) the world as a whole. Of particular interest is such data on an annual basis from January 1, 2000 to the present and forecasts of these demand data.

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-E.--DEMAND FACTORS--Continued**

IV-E.2 Have there been any changes in the end uses of natural UF₆ and other uranium products/services since January 1, 2000? If so, please describe.

Please discuss any anticipated changes in the end uses of these uranium products/services in the future, identifying the time period(s) involved and the factor(s) that you believe would be responsible for such changes. Provide any underlying assumptions that address this issue.

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-E.--DEMAND FACTORS--Continued**

Substitution refers to products that can, based on market price considerations and end user/ consumer preferences/technical capabilities, reasonably be expected to substitute for each other when the price of one product changes vis-a-vis the price of the other product--some end users/ consumers may require greater price changes than others before they switch among the alternative products.

IV-E.3 Identify below any products that may substitute for natural UF₆ or its associated conversion services and indicate how frequently any such substitution may occur. In your response consider purchases of a downstream product, such as LEU-HF, EUP-HF, or EUP-DO. Discuss the end uses where such substitution may occur.

To the extent possible, describe the approximate price sensitivity of the substitutions listed above (i.e., by what percent would the current price of natural UF₆ or conversion fees have to increase, all other prices remaining constant, before your customers would start to substitute the identified alternatives for uranium concentrates).

Please discuss fully, to the extent possible, any changes in the substitutability of other products for natural UF₆ or its associated conversion services that may have occurred during 2000-05 and any changes you anticipate in the future. Identify the time period(s) covered in your response and discuss the factor(s) that you believe would be responsible for any such changes. *Attach additional pages of discussion as needed.*

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-F.--COMPETITION FROM IMPORTS**

IV-F.1	Please compare market prices of natural UF ₆ /conversion fees in the United States with the prices/fees in other countries (including Russia) if known. Discuss separately prices and service fees on a spot market basis and on a long-term contract basis. Specify the countries of origin.

IV-F.2	Has the availability of nonsubject imported natural UF ₆ /conversion services changed since January 1, 2000? Please identify in your response the country(ies) of origin of any new supplier(s).

PART IV--PRICING AND MARKET FACTORS--Continued

Section IV-F.—COMPETITION FROM IMPORTS—Continued

Are the **U.S.-produced** natural UF₆ and associated conversion services, the **subject imported** natural UF₆ and associated conversion services from Russia and **nonsubject imported** natural UF₆ and associated conversion services used interchangeably (i.e., can they physically be used in the same applications)? *If no*, please explain by country(ies).

PART IV--PRICING AND MARKET FACTORS--Continued

Section IV-F.—COMPETITION FROM IMPORTS—Continued

Are there any differences in product characteristics or sales conditions between **U.S.-produced** natural UF₆ and associated conversion services, the **subject imported** natural UF₆ and associated conversion services from Russia, and **nonsubject imported** natural UF₆ and associated conversion services that are a significant factor in your firm's sales of the U.S.-produced uranium. *If yes*, please describe any such advantages or disadvantages of the domestic uranium vis-a-vis the imported uranium (e.g., quality, availability, transportation network, product range, technical support, etc.).

(1) United States vs. Russia ☐ YES (Please explain below) ☐ NO

(2) United States vs. ☐ YES (Please explain below) ☐ NO

(3) United States vs. ☐ YES (Please explain below) ☐ NO

(4) Russia vs. ☐ YES (Please explain below) ☐ NO

(5) Russia vs. ☐ YES (Please explain below) ☐ NO

Comments (identify the specific comparisons by the numbers above):

PART IV--PRICING AND MARKET FACTORS--Continued

Section IV-F.—COMPETITION FROM IMPORTS—Continued

Are price differences between **U.S.-produced** natural UF₆ and associated conversion services, the **subject imported** natural UF₆ and associated conversion services from Russia, and **nonsubject imported** natural UF₆ and associated conversion services a significant factor in your firm's sales of its U.S.-produced uranium? *If yes*, please explain and identify the countries of origin for which you are responding. Respond separately for spot market sales and long-term contract sales.

(2) United States vs. ☐ YES (Please explain below) ☐ NO

(3) United States vs. ☐ YES (Please explain below) ☐ NO

(4) Russia vs. ☐ YES (Please explain below) ☐ NO

(5) Russia vs. ☐ YES (Please explain below) ☐ NO

(6) vs. ☐ YES (Please explain below) ☐ NO

Comments (identify the specific comparisons by the numbers above):

PART IV--PRICING AND MARKET FACTORS--Continued**Section IV-G.--CUSTOMER IDENTIFICATION**

Please identify below the names and addresses of your firm's 10 largest U.S. customers for its U.S.-produced natural UF₆ and associated conversion services during 2000-05. Please also provide the name and telephone number of a contact person and the approximate percentage share of the quantity (kilograms of U contained in the natural UF₆) of your firm's total U.S. shipments (book transfers and physical movements) of its natural UF₆ and associated conversion services that each of these customers accounted for during 2000-05.

No.	Customer's name	Street address, state, and zip code	Contact person	Area code and telephone number	Share of 2005 shipments (%)
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					